

Supersymmetric Shimura operators and interpolation polynomials

Joint work with Siddhartha Sahi

Songhao Zhu

Rutgers University
sz446@math.rutgers.edu

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Structure

- Background (S. Sahi and G. Zhang [SZ19])
 - Shimura operators
 - Okounkov Polynomials
- Main Results and Ideas
 - Super ingredients (supersymmetric Shimura operators, Sergeev–Veselov polynomials)
 - Three Theorems
- Future Directions

Background

- 1 $X = G/K$: rank n symmetric space. $\mathfrak{D} = \mathfrak{D}(X)$: space of invariant differential operators on X .
- 2 $(\mathfrak{g}, \mathfrak{k})$: corresponding Lie algebras. $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{a} \oplus \mathfrak{n}$: Iwasawa decomposition.
- 3 $\gamma^0 : \mathfrak{D}(X) \rightarrow \Lambda \subseteq \mathfrak{P}(\mathfrak{a}^*)$: the Harish-Chandra isomorphism. Λ is a certain ring of symmetric polynomials.
- 4 Shimura [Shi90]: multivariate generalization of nearly holomorphic forms. Studied certain differential operators on *Hermitian* X .

$$\mathfrak{g} = \mathfrak{p}^- \oplus \mathfrak{k} \oplus \mathfrak{p}^+ (= \mathfrak{k} \oplus \mathfrak{p})$$

Short grading, \mathfrak{k} acts on \mathfrak{p}^\pm (abelian).

- 5 Sahi–Zhang described the spectrum of these *Shimura operators* in terms of specialization of BC -symmetric interpolation polynomials by Okounkov. [Realized as the images under γ^0 .]

Schmid Decomposition and Shimura Operators

Let $\mathcal{H}(n)$ consist of partitions of length n , $\mathcal{H}^d(n) := \{\lambda \in \mathcal{H}(n) : |\lambda| = d\}$. Denote $\mathfrak{U}(\mathfrak{g})$ as \mathfrak{U} , and the \mathfrak{k} centralizer in \mathfrak{U} as $\mathfrak{U}^{\mathfrak{k}}$. Then $\mathfrak{D} = \mathfrak{U}^{\mathfrak{k}} / (\mathfrak{U}\mathfrak{k})^{\mathfrak{k}}$ where $(\mathfrak{U}\mathfrak{k})^{\mathfrak{k}} = \mathfrak{U}\mathfrak{k} \cap \mathfrak{U}^{\mathfrak{k}}$. A result of Schmid ([Sch70, FK90]) gives the following multiplicity free \mathfrak{k} -module decompositions:

$$\mathfrak{S}^d(\mathfrak{p}^+) = \bigoplus_{\lambda \in \mathcal{H}^d(n)} W_{\lambda}, \quad \mathfrak{S}^d(\mathfrak{p}^-) = \bigoplus_{\lambda \in \mathcal{H}^d(n)} W_{\lambda}^*.$$

Shimura Operators

$$\begin{array}{ccccccc} \mathrm{End}_{\mathfrak{k}}(W_{\lambda}) \cong (W_{\lambda}^* \otimes W_{\lambda})^{\mathfrak{k}} & \hookrightarrow & (\mathfrak{S}(\mathfrak{p}^-) \otimes \mathfrak{S}(\mathfrak{p}^+))^{\mathfrak{k}} & \rightarrow & \mathfrak{U}^{\mathfrak{k}} & \rightarrow & \mathfrak{D} \\ 1 & \longmapsto & & \longrightarrow & D_{\lambda} & \mapsto & \mathcal{D}_{\lambda} \end{array}$$

Call \mathcal{D}_{λ} the *Shimura operator associated with λ* .

Okounkov Polynomials

$\Lambda := \mathbb{C}[x_1, \dots, x_n]^{S_n \times \mathbb{Z}_2^n}$ (ring of even symmetric polynomials)

$\rho := (\rho_1, \dots, \rho_n)$, $\rho_i := \tau(n - i) + \alpha$. τ, α : parameters.

Theorem-Definition [Oko98, OO06], c.f. [SZ19]

The Okounkov polynomial $P_\mu(x; \tau, \alpha)$ is the unique polynomial in Λ satisfying

- 1 $\deg P_\mu = 2|\mu|$;
- 2 $P_\mu(\lambda + \rho) = 0$ for $\lambda \not\geq \mu$ [**the vanishing properties**];
- 3 Some normalization condition.

ρ can be specialized to the half sum of positive roots for a restricted root system of Type BC . [The case for Hermitian X]

For the “usual” Type A symmetry, there are Knop–Sahi polynomials [KS96].

Punch Line

Let W_0 be the Weyl group of the restricted root system. For Hermitian G/K , $W_0 \cong S_n \times \mathbb{Z}_2^n$. Then $\Lambda = \mathfrak{P}(\mathfrak{a}^*)^{W_0}$ and $\gamma^0 : \mathfrak{D} \rightarrow \mathfrak{P}(\mathfrak{a}^*)^{W_0}$.

$$\begin{array}{ccc} \text{End}_{\mathfrak{k}}(W_\lambda) & \rightarrow & \mathfrak{D} \xrightarrow{\gamma^0} \mathfrak{P}(\mathfrak{a}^*)^{W_0} \\ 1 & \longmapsto & \gamma^0(\mathcal{D}_\lambda) \end{array}$$

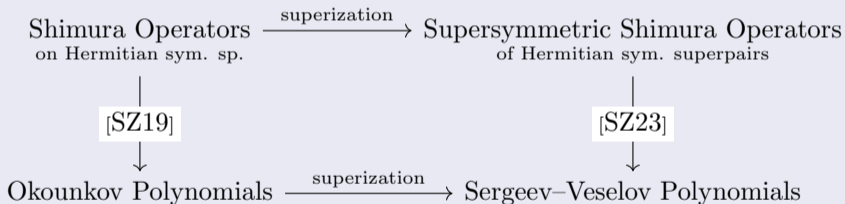
Theorem (Sahi & Zhang [SZ19])

We have $\gamma^0(\mathcal{D}_\lambda) = k_\lambda P_\lambda$ for some $k_\lambda \neq 0$.

Let V_μ be the irreducible \mathfrak{g} -module of highest weight $\sum \mu_i \gamma_i$. Then V_μ has a spherical vector $v^\mathfrak{k}$, i.e. $\mathfrak{k}.v^\mathfrak{k} = 0$. This is guaranteed by the classic Cartan–Helgason Theorem. $D_\lambda \in \mathfrak{U}^\mathfrak{k}$ ($\mathcal{D}_\lambda \in \mathfrak{D}$) acts on $v^\mathfrak{k}$ as $\gamma^0(\mathcal{D}_\lambda)(\mu + \rho)$, hence the word **spectrum/eigenvalue!**

Big Picture

We solved the Type A super analog:



Set up

Fix $\mathfrak{g} = \mathfrak{gl}(2p|2q)$ and $\mathfrak{k} = \mathfrak{gl}(p|q) \oplus \mathfrak{gl}(p|q)$. Embed \mathfrak{k} into \mathfrak{g} :

$$\left(\left(\begin{array}{c|c} A_{p \times p} & B_{p \times q} \\ \hline C_{q \times p} & D_{q \times q} \end{array} \right), \left(\begin{array}{c|c} A'_{p \times p} & B'_{p \times q} \\ \hline C'_{q \times p} & D'_{q \times q} \end{array} \right) \right) \mapsto \left(\begin{array}{cc|cc} A_{p \times p} & 0_{p \times p} & B_{p \times q} & 0_{p \times q} \\ 0_{p \times p} & A'_{p \times p} & 0_{p \times q} & B'_{p \times q} \\ \hline C_{q \times p} & 0_{q \times p} & D_{q \times q} & 0_{q \times q} \\ 0_{q \times p} & C'_{q \times p} & 0_{q \times q} & D'_{q \times q} \end{array} \right)$$

Here \mathfrak{p}^+ (resp. \mathfrak{p}^-) consists of matrices with non-zero entries only in the upper right (resp. bottom left) sub-blocks in each of the four blocks.

Let $J := \frac{1}{2} \text{diag}(I_{p \times p}, -I_{p \times p}, I_{q \times q}, -I_{q \times q})$, and $\theta := \text{Ad exp}(i\pi J)$. Then θ has fixed point subalgebra \mathfrak{k} .

Fix a θ -stable, maximally split Cartan \mathfrak{h} containing \mathfrak{a} , a maximal toral subalgebra in $\mathfrak{p}_{\bar{0}}$. The standard diagonal Cartan is denoted as \mathfrak{t} (in both \mathfrak{g} and \mathfrak{k} , “max. compact”)

Super Ingredients

Let $\mathcal{H} = \mathcal{H}(p, q) := \{\lambda : \lambda_{p+1} \leq q\}$ (hook partitions), and let $\mathcal{H}^d := \{\lambda \in \mathcal{H} : |\lambda| = d\}$. For $\mathfrak{gl}(p|q) \oplus \mathfrak{gl}(p|q)$, Cheng–Wang decomposition ([CW01, SSS20]) says

$$\mathfrak{S}^d(\mathfrak{p}^+) = \bigoplus_{\lambda \in \mathcal{H}^d} W_\lambda, \quad \mathfrak{S}^d(\mathfrak{p}^-) = \bigoplus_{\lambda \in \mathcal{H}^d} W_\lambda^*.$$

Highest weight (λ^\natural) on \mathfrak{t} , expressed in Harish-Chandra strongly orthogonal roots. Note W_λ are of Type M, and $\dim \text{End}_{\mathfrak{t}}(W_\lambda) = 1$. Set $\mathfrak{D} = \mathfrak{U}^\natural / (\mathfrak{U}\mathfrak{t})^\natural$.

Supersymmetric Shimura Operators

$$\begin{array}{ccccccc} \text{End}_{\mathfrak{t}}(W_\lambda) \cong (W_\lambda^* \otimes W_\lambda)^\natural & \hookrightarrow & (\mathfrak{S}(\mathfrak{p}^-) \otimes \mathfrak{S}(\mathfrak{p}^+))^\natural & \rightarrow & \mathfrak{U}^\natural & \rightarrow & \mathfrak{D} \\ 1 & \longmapsto & & & D_\lambda & \mapsto & \mathcal{D}_\lambda \end{array}$$

Call \mathcal{D}_λ the *supersymmetric Shimura operator associated with λ* .

Super Ingredients

Iwasawa decomposition (for recent developments see [She22]) $\mathfrak{g} = \mathfrak{n} \oplus \mathfrak{a} \oplus \mathfrak{k}$ gives

$$\mathfrak{U} = (\mathfrak{U}\mathfrak{k} + \mathfrak{n}\mathfrak{U}) \oplus \mathfrak{S}(\mathfrak{a}).$$

Then the homomorphism Γ (Harish-Chandra *homomorphism*) is defined as the ρ -shifted projection w.r.t. the above decomposition. The quotient *isomorphism* $\gamma^0 : \mathfrak{U}^{\mathfrak{k}} / \ker \Gamma \rightarrow \text{Im } \Gamma$ is the *Harish-Chandra isomorphism*.

- 1 Independent from Alldridge's results on Harish-Chandra homomorphism [All12], we proved $\ker \Gamma = (\mathfrak{U}\mathfrak{k})^{\mathfrak{k}} := \mathfrak{U}\mathfrak{k} \cap \mathfrak{U}^{\mathfrak{k}}$.
- 2 We also proved that $\text{Im } \gamma^0$ is exactly $\Lambda^0(\mathfrak{a}^*)$, the ring of even supersymmetric polynomials on \mathfrak{a}^* , previously proved in [Zhu22].
Even supersymmetric: invariant under permutations of $\{x_i\}$ and of $\{y_j\}$ separately; invariant under sign changes of $\{x_i, y_j\}$; and $f(x_1 = t, y_1 = -t)$ is independent of t .

Sergeev–Veselov Polynomials

Proposition-Definition

For each $\mu \in \mathcal{H}$, there is a unique polynomial $J_\mu \in \Lambda^0$ of degree $2|\mu|$ s.t.

$$J_\mu(\bar{\lambda} + \rho) = 0, \quad \text{for all } \lambda \not\preceq \mu, \lambda \in \mathcal{H}$$

and that $J_\mu(\bar{\mu} + \rho)$ is certain explicit non-zero constant.

- ① A specialization of Sergeev–Veselov polynomials [SV09].
- ② Here $\bar{\lambda}$ is some choice of coordinates (*Frobenius*).
- ③ ρ is the Weyl vector, the half sum of the positive restricted roots.

E.g. $p = q = 1$, for the restricted root system, $\rho = (-1, 1)$.

- ① $\mu = (1)$, $\lambda = \emptyset$, and $\bar{\lambda} + \rho = (-1, 1)$, $J_{(1)} \propto x^2 - y^2$.
- ② $\mu = (2)$, $\lambda = (1^n)$, and $\bar{\lambda} + \rho = (1, 2n - 1)$, $J_{(2)} \propto (x^2 - y^2)(x^2 - 1)$.

Main Results

Theorem A (Sahi & Z. [SZ23])

We have $\gamma^0(\mathcal{D}_\mu) = k_\mu J_\mu$ where $k_\mu = (-1)^{|\mu|} \prod_{(i,j) \in \mu} (\mu_i - j + \mu'_j - i + 1)$.

The main thing is to show the vanishing properties. Need two other results. Let the center of \mathfrak{U} be \mathfrak{Z} . Then $\mathfrak{Z} \subseteq \mathfrak{U}^\mathfrak{k}$ and we have $\pi : \mathfrak{Z} \hookrightarrow \mathfrak{U}^\mathfrak{k} \twoheadrightarrow \mathfrak{D}$.

Theorem B (Sahi & Z. [SZ23])

The map π is surjective. In particular, there exist $Z_\mu \in \mathfrak{Z}$ such that $\pi(Z_\mu) = \mathcal{D}_\mu$. (So $\mathcal{D}_\mu = \pi(D_\mu)$ can be captured by some central element!)

Let $I_\lambda := \mathfrak{U} \otimes_{\mathfrak{U}(\mathfrak{q})} W_\lambda$ be the generalized Verma module for $\mathfrak{q} = \mathfrak{k} \oplus \mathfrak{p}^+$.

Theorem C (Sahi & Z. [SZ23])

The central element Z_μ acts on I_λ by 0 when $\lambda \not\geq \mu$.

Main Results

Why the fuss?

- 1 No full generalization of Cartan–Helgason theorem in the super scenario. The only partial result is obtained by Alldridge and Schmittner [AS15]. Not enough V_λ are guaranteed to be spherical.
- 2 Only know how $\mathfrak{U}^\mathfrak{k}$ (or \mathfrak{D}) acts on a spherical vector of an irreducible, finite dimensional, \mathfrak{h} -highest weight \mathfrak{g} -module V_λ . By [Zhu22, Theorem 5.2], $D \in \mathfrak{U}^\mathfrak{k}$ ($\pi(D) \in \mathfrak{D}$) acts on a spherical vector $v^\mathfrak{k}$ by the scalar $\Gamma(D)(\lambda + \rho) = \gamma^0(\pi(D))(\lambda + \rho)$.
- 3 I_λ has the irreducible quotient isomorphic to V_λ ! We devise a workaround using this.

Main Results

Theorem B

The map π is surjective. In particular, there exist $Z_\mu \in \mathfrak{Z}$ such that $\pi(Z_\mu) = \mathcal{D}_\mu$.

$\mathfrak{h} := \mathfrak{a} \oplus \mathfrak{t}_+$: Cartan subalgebra of \mathfrak{g} containing \mathfrak{a}

$\gamma : \mathfrak{Z} \rightarrow \mathfrak{P}(\mathfrak{h}^*)$: the usual Harish-Chandra isomorphism

Res: the restriction map induced from the decomposition $\mathfrak{h} = \mathfrak{a} \oplus \mathfrak{t}_+$.

$$\begin{array}{ccc}
 \mathfrak{Z} & \xrightarrow{\pi} & \mathcal{D} \\
 \gamma \downarrow \wr & & \wr \downarrow \gamma^0 \\
 \Lambda(\mathfrak{h}^*) & \xrightarrow{\text{Res}} & \Lambda^0(\mathfrak{a}^*)
 \end{array}$$

- ① First show $\Lambda(\mathfrak{h}^*)$ surjects onto $\text{Im } \gamma^0 = \Lambda^0(\mathfrak{a}^*)$, via **Res**.
- ② Then show the diagram commutes.

Main Results

Sketch of proof of Theorem B.

$$\begin{array}{ccc}
 \mathfrak{Z} & \xrightarrow{\pi} & \mathfrak{D} \\
 \gamma \downarrow \wr & & \wr \downarrow \gamma^0 \\
 \Lambda(\mathfrak{h}^*) & \xrightarrow{\text{Res}} & \Lambda^0(\mathfrak{a}^*)
 \end{array}$$

- ① Choose explicit coordinates on \mathfrak{h}^* and \mathfrak{a}^* , and explicit generators of the algebra of supersymmetric polynomials ([Ste85]) to show the surjectivity of Res.
- ② Diagram chase. The set of the highest \mathfrak{h} -weights that guarantee to give a spherical irreducible \mathfrak{g} -module is *Zariski dense* (by [AS15], a partial generalization of the Cartan–Helgason Theorem).



Main Results

Theorem C

The central element Z_μ acts on I_λ by 0 when $\lambda \not\geq \mu$.

Sketch of the proof of Theorem C.

- 1 $I_\lambda = \mathfrak{U} \otimes_{\mathfrak{U}(\mathfrak{q})} W_\lambda \cong \mathfrak{S}(\mathfrak{p}^-) \otimes W_\lambda \cong \bigoplus (W_\mu^* \otimes W_\lambda)$ (as \mathfrak{k} -modules).
- 2 Spherical: $I_\lambda^\mathfrak{k} \subseteq W_\lambda^* \otimes W_\lambda$ with $\dim I_\lambda^\mathfrak{k} = 1$.
- 3 Rep map $W_\mu \otimes I_\lambda^\mathfrak{k} \rightarrow I_\lambda$ has image homomorphic to W_μ .
- 4 $\text{Hom}_{\mathfrak{k}}(W_\mu, I_\lambda) = \{0\}$ for $\lambda \not\geq \mu$.
- 5 $D_\mu = \sum \xi_i \eta_i$ for $\xi_i \in W_\mu^*$ and $\eta_i \in W_\mu$. So $D_\mu \cdot I_\lambda^\mathfrak{k} = \{0\} = \mathcal{D}_\mu \cdot I_\lambda^\mathfrak{k}$.
- 6 Z_μ also acts by 0. But $Z_\mu \in \mathfrak{Z}$ so it acts by 0 everywhere!



The main thing is that I_λ has \mathfrak{k} -highest weight and is infinite dimensional. We don't know by what "polynomial" \mathcal{D}_μ acts on $I_\lambda^\mathfrak{k}$ directly!

Main Results

Theorem A

We have $\gamma^0(\mathcal{D}_\mu) = k_\mu J_\mu$ where $k_\mu = (-1)^{|\mu|} \prod_{(i,j) \in \mu} (\mu_i - j + \mu'_j - i + 1)$.

Sketch of the proof of Theorem A.

- 1 By the commutative diagram, we have $\gamma^0(\pi(Z_\mu)) = \mathbf{Res}(\gamma(Z_\mu))$. The LHS is just $\gamma^0(\mathcal{D}_\mu)$.
- 2 $\gamma^0(\mathcal{D}_\mu)(\bar{\lambda} + \rho) = \gamma(Z_\mu)(\lambda + \rho)$
- 3 By Theorem C, Z_μ acts by 0 on I_λ for $\lambda \not\preceq \mu$. But \mathfrak{Z} acts on a cyclic module exactly by γ . Thus

$$\gamma^0(\mathcal{D}_\mu)(\bar{\lambda} + \rho) = 0, \text{ for all } \lambda \not\preceq \mu, \lambda \in \mathcal{H}.$$

- 4 We use the theory of super Jack polynomials ([SV05]) to pin down k_μ by comparing leading terms.



Other Types

- Supersymmetric Shimura operators can be defined for other pairs (Jordan superalgebras+TKK construction) c.f. [SSS20].
- The main difficulty is perhaps the surjectivity of \mathbf{Res} map and the commutative diagram which in the current setting are proved by some particular choice of coordinates. We believe this can be done in a better way.
- We would also like to generalize the Cartan–Helgason Theorem for the super setting. Appears to be difficult... [Zhu22]

Scope of the theory

☺: usual Lie algebras. \mathbb{Z}_2 : Lie superalgebras. q : quantum groups.

	Shimura	Capelli	quadratic Capelli
☺	[SZ19]	[KS93, Sah94]	[SS19]
\mathbb{Z}_2	[Zhu22, SZ23]	[SSS20]	?
q	?	[LSS22]	?
\mathbb{Z}_2, q	?	?	?

Table: Scope

Thank you!



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