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Supersymmetric Shimura operators and interpolation polynomials Joint work with Siddhartha Sahi

[Songhao Zhu](https://sites.math.rutgers.edu/~sz446/)

Georgia Institute of Technology [zhu.math@gatech.edu](mailto:zhu.math@gatehc.edu)

2024 AMS Fall Eastern Sectional Meeting Special Session on Interactions Between Lie Theory and COMBINATORICS OF SYMMETRIC FUNCTIONS October 19, 2024 arxiv.org/abs/2212.09249 arxiv.org/abs/2312.08661

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- Background
- Lie superalgebras
- Main Results
- Future Directions

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Background

Symmetric functions naturally arise in representation theory.

1. Schur function, Weyl character formula, Type A objects

3/20

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3/20

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- 1. Schur function, Weyl character formula, Type A objects
- 2. Root systems, various differential operators, eigenfunctions
- 3. CMS operators, Dunkl & Cherednik operators (related to DAHA)
- 4. Jack, Hall & Macdonald polynomials
- 5. many more...

Punch lines

The image of 1 under good map is good.

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Good maps: Harish-Chandra isom; Images: symmetric polynomials.

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Good maps: Harish-Chandra isom; Images: symmetric polynomials. We solved the Type A super analog:

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- 1. Shimura: multivariate generalization of nearly holomorphic automorphic forms.
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- 6. These are the even & symmetric polynomials with prescribed zeros (thus the word interpolation).
- 7. The theory of symmetric functions gives answers to Shimura's problem.

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In context (frakturs)

Let $X := G/K$ be as above. Change the point of view to (complexified) Lie algebras.

1. $(\mathfrak{g}, \mathfrak{k})$: Harish-Chandra decomposition $[(-1, 0, 1)$ -grading] $\mathfrak{g}=\mathfrak{p}^-\oplus\mathfrak{k}\oplus\mathfrak{p}^+ (=\mathfrak{k}\oplus\mathfrak{p})$ $[\mathfrak{k}, \mathfrak{p}^{\pm}] = \mathfrak{p}^{\pm}, \quad [\mathfrak{p}^+, \mathfrak{p}^+] = [\mathfrak{p}^-, \mathfrak{p}^-] = 0, \quad [\mathfrak{p}^+, \mathfrak{p}^-] = \mathfrak{k}$

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- 3. Then $\mathfrak{D} \cong \mathfrak{U}^{\mathfrak{k}}/(\mathfrak{U}\mathfrak{k})^{\mathfrak{k}}$. This is the algebraic description of \mathfrak{D} .
- 4. $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{a} \oplus \mathfrak{n}$: Iwasawa decomposition (Group: $G = KAN$). Here \mathfrak{a} is maximally abelian in $\mathfrak{p} = \mathfrak{p}^- \oplus \mathfrak{p}^+$. May identify $\mathfrak{S}(\mathfrak{a})$ with $\mathfrak{P}(\mathfrak{a}^*)$.
- 5. γ⁰ : $\mathfrak{D} \to \Lambda \subseteq \mathfrak{P}(\mathfrak{a}^*)$: the Harish-Chandra isomorphism. Good map! Essentially a symmetry-preserving projection, shifted by the Weyl vector ρ

Schmid decomposition and Shimura operators

 $\mathscr{P}(n) := {\lambda : \ell(\lambda) = n}, \quad \mathscr{P}^d(n) := {\lambda \in \mathscr{P}(n) : |\lambda| = d}.$

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\mathfrak{S}^d(\mathfrak{p}^+)=\bigoplus_{\lambda\in\mathscr{P}^d(n)}W_\lambda, \ \mathfrak{S}^d(\mathfrak{p}^-)=\bigoplus_{\lambda\mathscr{P}^d(n)}W_\lambda^*.
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Here we choose a form to identify \mathfrak{p}^- with $(\mathfrak{p}^+)^*$. The highest weights are parametrized using the HC strongly orthogonal roots.

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\operatorname{End}_{\mathfrak{k}}\left(W_{\lambda}\right) \cong \left(W_{\lambda}^* \otimes W_{\lambda}\right)^{\mathfrak{k}} \hookrightarrow \left(\mathfrak{S}\left(\mathfrak{p}^- \right) \otimes \mathfrak{S}\left(\mathfrak{p}^+\right)\right)^{\mathfrak{k}} \to \mathfrak{U}^{\mathfrak{k}} \to \mathfrak{D}
$$

1
$$
\longmapsto D_{\lambda} \mapsto \mathscr{D}_{\lambda}
$$

Call \mathscr{D}_{λ} the *Shimura operator associated with* λ .

A basis parameterized by partitions! Nice images of 1's.

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Okounkov Polynomials

 $\Lambda := \mathbb{C}[x_1,\ldots,x_n]^{S_n \ltimes \mathbb{Z}_2^n}$ (ring of even symmetric polynomials) $\rho := (\rho_1, \ldots, \rho_n), \, \rho_i := \tau(n-i) + \alpha. \, \tau, \alpha.$ parameters.

 $A \Box P = 4 \Box P + 4 \Box P$

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Theorem-Definition [\[Oko98,](#page-49-4) [OO06\]](#page-49-5), c.f. [\[SZ19\]](#page-50-0)

The Okounkov polynomial $P_\mu(x_1,\ldots,x_n;\tau,\alpha)$ is the unique polynomial in Λ satisfying

- 1. deg $P_{\mu} = 2|\mu|$;
- 2. $P_{\mu}(\lambda + \rho) = 0$ for $\lambda \not\supseteq \mu$ [the vanishing properties];
- 3. Some normalization condition (at $\mu + \rho$).
- 1. They are interpolation polynomials (interpolated by zeros);
- 2. ρ can be specialized to the half sum of positive roots for a restricted root system of Type BC The case for Hermitian X ;
- 3. For the "usual" Type A symmetry, there are Knop–Sahi polynomials [\[KS96\]](#page-49-6).

An Example

Consider the one-row partition (l) for $l \in \mathbb{N}$ (so $(k) \not\supseteq (l)$ means $k < l$). $n = 1$ $\rho = \alpha$. We have [\[SZ19\]](#page-50-0):

$$
P_{(l)}(x;\tau,\alpha) = \prod_{i=0}^{l-1} (x^2 - (i+\alpha)^2) = \prod_{i=0}^{l-1} (x+\alpha-i)(x-\alpha+i).
$$

- Trivially symmetric;
- Degree 2l;
- Vanishes at $(k + \alpha)$ for $k < l$.

For (l^n) , we have

$$
P_{(l^n)}(x; \tau, \alpha) = \prod_{i=0}^{l-1} \prod_{j=1}^n (x_j^2 - (i + \alpha)^2)
$$

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Classical result

Recall the H-C isomorphism $\gamma^0 : \mathfrak{D} \to \Lambda$. It captures/transports the Type BC symmetry $(restricted root system \rightarrow polynomials).$

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> $\operatorname{End}_{\mathfrak{k}}\left(W_{\lambda}\right)\rightarrow\mathfrak{D}\stackrel{\gamma^{0}}{\longrightarrow}\Lambda$ $1 \longmapsto \gamma^0(\mathscr{D}_\lambda)$

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We have $\gamma^{0}(\mathscr{D}_{\lambda}) = k_{\lambda} P_{\lambda}$ for some explicit $k_{\lambda} \neq 0$.

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Let V_μ be the irreducible g-module (of the same highest weight as W_μ). Then by the Cartan–Helgason Theorem, V_μ has a spherical vector $v^{\mathfrak{k}}$, i.e. $\mathfrak{k}.v^{\mathfrak{k}} = 0$. $D_\lambda \in \mathfrak{U}^{\mathfrak{k}}$ $(\mathscr{D}_\lambda \in \mathfrak{D})$ acts on v^{ℓ} as $\gamma^{0}(\mathscr{D}_{\lambda})(\mu+\rho)$, hence the word spectrum/eigenvalue!

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Lie Superalgebras

Definition

A Lie superalgebra is a vector superspace $\mathfrak{g} = \mathfrak{g}_{\overline{0}} \oplus \mathfrak{g}_{\overline{1}}$ with a bilinear map $[-,-]$: $\mathfrak{g} \otimes \mathfrak{g} \to \mathfrak{g}$ satisfying

- 1. $[X, Y] = -(-1)^{|X||Y|}[Y, X]$
- 2. $[[X, Y], Z] = [X, [Y, Z]] (-1)^{|X||Y|}[Y, [X, Z]]$

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$$
\mathfrak{gl}(m|n)\!:\,\begin{pmatrix}\!A & 0\\ \hline\n0 & D\end{pmatrix}\quad \mathfrak{gl}_{\overline{1}}\!:\,\begin{pmatrix}\!0 & B\\ \hline\nC & 0\end{pmatrix}\quad [X,Y]:=XY-(-1)^{|X||Y|}YX.
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gl(m|n): gl0 : A 0 0 D gl1 : 0 B C 0 [X, Y] := XY − (−1)[|]X||^Y [|]Y X.

Bad news for LSA

No Weyl's theorem on complete reducibility; Borels are not conjugates; isotropic (restricted) roots...

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Supersymmetric Shimura operators

Fix $\mathfrak{g} = \mathfrak{gl}(2p|2q)$ and $\mathfrak{k} = \mathfrak{gl}(p|q) \oplus \mathfrak{gl}(p|q)$. $\mathscr{H} = \mathscr{H}(p,q) := \{\lambda : \lambda_{p+1} \leq q\}$ (hook partitions). $\mathscr{H}^d := \{\lambda \in \mathscr{H} : |\lambda| = d\}.$ Super analog of the Schmid decomposition: ([\[CW01,](#page-49-7) [SSS20\]](#page-49-8))

$$
\mathfrak{S}^d(\mathfrak{p}^+) = \bigoplus_{\lambda \in \mathscr{H}^d} W_{\lambda}, \ \mathfrak{S}^d(\mathfrak{p}^-) = \bigoplus_{\lambda \in \mathscr{H}^d} W_{\lambda}^*.
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 W_{λ} are of Type M, and dim $\text{End}_{\mathfrak{k}}(W_{\lambda}) = 1$. (Super version of Schur's Lemma may include the parity twist.) Set $\mathfrak{D} = \mathfrak{U}^{\mathfrak{k}}/(\mathfrak{U}\mathfrak{k})^{\mathfrak{k}}$.

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And yes, the super Harish-Chandra isomorphism γ^0 : $\mathfrak{D} \to \Lambda \subseteq \mathfrak{P}(\mathfrak{a}^*)$ exists, but not well-understood.

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Sergeev–Veselov Polynomials

Polynomial supersymmetry = symmetry in $\{x_i\}$ and $\{y_j\}$ + translational invariance "across $\{x_i, y_j\}$ ".

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Sergeev–Veselov Polynomials

Polynomial supersymmetry = symmetry in $\{x_i\}$ and $\{y_j\}$ + translational invariance "across $\{x_i, y_j\}$ ". In [\[SZ23\]](#page-50-2), we proved that Im γ^0 is exactly $\Lambda^0(\mathfrak{a}^*)$, the ring of even supersymmetric polynomials on a ∗ , previously proved also in [\[Zhu22\]](#page-50-1).

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Polynomial supersymmetry = symmetry in $\{x_i\}$ and $\{y_i\}$ + translational invariance "across $\{x_i, y_j\}$ ". In [\[SZ23\]](#page-50-2), we proved that Im γ^0 is exactly $\Lambda^0(\mathfrak{a}^*)$, the ring of even supersymmetric polynomials on a ∗ , previously proved also in [\[Zhu22\]](#page-50-1).

Proposition-Definition [\[SV09\]](#page-50-3)

For each $\mu \in \mathcal{H}$, there is a unique polynomial $J_{\mu} \in \Lambda^0$ of degree $2|\mu|$ s.t.

$$
J_{\mu}(\overline{\lambda}+\rho)=0, \text{ for all } \lambda \nsupseteq \mu, \lambda \in \mathscr{H}
$$

and that $J_\mu(\bar{\mu} + \rho)$ is certain explicit non-zero constant.

 $\overline{\lambda}$: some choice of (Frobenius) coordinates. ρ : Weyl vector of restricted roots.

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Main Results

Theorem A (Sahi–Z. [\[SZ23\]](#page-50-2))

We have $\gamma^0(\mathscr{D}_\mu) = k_\mu J_\mu$ where $k_\mu = (-1)^{|\mu|} \prod_{(i,j) \in \mu} (\mu_i - j + \mu'_j - i + 1)$.

The main thing is to show the vanishing properties. Need two other results.

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Main Results

Theorem A (Sahi–Z. [\[SZ23\]](#page-50-2))

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 where $k_{\mu} = (-1)^{|\mu|} \prod_{(i,j) \in \mu} (\mu_{i} - j + \mu'_{j} - i + 1)$.

The main thing is to show the vanishing properties. Need two other results. Let the center of \mathfrak{U} be 3. Then $\mathfrak{Z} \subseteq \mathfrak{U}^{\mathfrak{k}}$ and we have $\pi : \mathfrak{Z} \hookrightarrow \mathfrak{U}^{\mathfrak{k}} \twoheadrightarrow \mathfrak{D}$. Establish the commutative diagram:

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Main Results

Theorem B (Sahi–Z. [\[SZ23\]](#page-50-2))

The map π is surjective. In particular, there exists $Z_\mu \in \mathfrak{Z}$ such that $\pi(Z_\mu) = \mathscr{D}_\mu$. (So $\mathscr{D}_{\mu} = \pi(D_{\mu})$ can be captured by some central element!)

Let $I_{\lambda} := \mathfrak{U} \otimes_{\mathfrak{U}(\mathfrak{q})} W_{\lambda}$ be the generalized Verma module for $\mathfrak{q} = \mathfrak{k} \oplus \mathfrak{p}^+$.

Theorem C (Sahi–Z. [\[SZ23\]](#page-50-2))

The central element Z_μ acts on I_λ by 0 when $\lambda \not\supseteq \mu$.

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Future Directions

• Other pairs (Jordan superalgebras+TKK construction) c.f. [\[SSS20\]](#page-49-8).

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Future Directions

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- Differential operators (on (possibly Laurent) polynomials) ∼ symmetric polynomials. This Shimura theory should in principle help us to explicitly write down a family of commuting differential operators (also commuting with the CMS operators) Ongoing! Nothing wrong so far...

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Scope: Shimura and friends

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Fix $\mathfrak{a} = \mathfrak{a} \mathfrak{l}(2p|2q)$ and $\mathfrak{k} = \mathfrak{a} \mathfrak{l}(p|q) \oplus \mathfrak{a} \mathfrak{l}(p|q)$. Embed \mathfrak{k} into \mathfrak{a} :

$$
\left(\left(\begin{array}{c|c}A & B \\ \hline C & D\end{array}\right), \left(\begin{array}{c|c}A' & B' \\ \hline C' & D'\end{array}\right)\right) \mapsto \left(\begin{array}{c|c}A & 0 & B & 0 \\ 0 & A' & 0 & B' \\ \hline C & 0 & D & 0 \\ 0 & C' & 0 & D'\end{array}\right)
$$

Here p+ (resp. p−) consists of matrices with non-zero entries only in the upper right (resp. bottom left) sub-blocks in each of the four blocks.

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Here \mathfrak{p}^+ (resp. \mathfrak{p}^-) consists of matrices with non-zero entries only in the upper right (resp. bottom left) sub-blocks in each of the four blocks.

Technical details:

- 1. Let $J := \frac{1}{2} \text{ diag}(I, -I, I, -I)$, and $\theta := \text{Ad} \exp(i\pi J)$. Then θ has fixed point subalgebra \mathfrak{k} .
- 2. The standard diagonal Cartan is denoted as t (in both $\mathfrak g$ and $\mathfrak k$, "max. compact")
- 3. Fix a θ -stable, maximally split Cartan $\mathfrak h$ containing $\mathfrak a$, a maximal toral subalgebra in $\mathfrak p_{\overline 0}$ (for Iwasawa decomp.).

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Supersymmetric polynomials

For polynomials, supersymmetry $=$ symmetry $+$ translational invariance.

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Supersymmetric polynomials

For polynomials, supersymmetry $=$ symmetry $+$ translational invariance. More precisely, in the Type BC situation:

Definition

Let $\{\epsilon_i\}_{i=1}^q \cup \{\delta_j\}_{j=1}^q$ be the standard basis for $V = \mathbb{C}^{p+q}$. Denote the coordinate functions for this basis as x_i and y_j . A polynomial $f \in \mathfrak{P}(V) = \mathbb{C}[x_i, y_j]$ is said to be *even supersymmetric* if :

(i) f is symmetric in x_i and y_j separately and invariant under sign changes of x_i and y_j ;

(ii)
$$
f(X + \epsilon_i - \delta_j) = f(X)
$$
 if $x_i + y_j = 0$ for $i = 1, ..., p, j = 1, ..., q$.

We denote the subring of even supersymmetric polynomials as $\Lambda^0(V)$.

 !! (i) \iff invariance under $(S_p \ltimes \mathbb{Z}_2^n) \times (S_q \ltimes \mathbb{Z}_2^n)$ but (ii) is no longer group invariance (not even linear). In fact, one may use a suitable Weyl *groupoid* action to capture (i & ii).