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Supersymmetric Shimura operators and interpolation polynomials Joint work with Siddhartha Sahi

Songhao Zhu

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2024 AMS FALL EASTERN SECTIONAL MEETING SPECIAL SESSION ON INTERACTIONS BETWEEN LIE THEORY AND COMBINATORICS OF SYMMETRIC FUNCTIONS October 19, 2024 arxiv.org/abs/2212.09249 arxiv.org/abs/2312.08661

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- Background
- Lie superalgebras
- Main Results
- Future Directions



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#### Background

Symmetric functions naturally arise in representation theory.

1. Schur function, Weyl character formula, Type A objects

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- 1. Schur function, Weyl character formula, Type A objects
- 2. Root systems, various differential operators, eigenfunctions
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- 4. Jack, Hall & Macdonald polynomials
- 5. many more...

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### Punch lines

The image of 1 under good map is good.

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Good maps: Harish-Chandra isom; Images: symmetric polynomials.

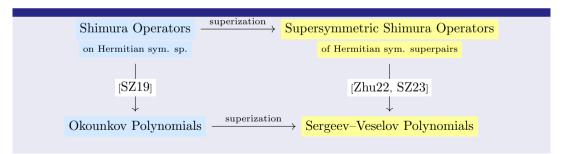
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- 1. Shimura: multivariate generalization of nearly holomorphic automorphic forms.
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- 7. The theory of symmetric functions gives answers to Shimura's problem.

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## In context (frakturs)

Let X := G/K be as above. Change the point of view to (complexified) Lie algebras.

1.  $(\mathfrak{g}, \mathfrak{k})$ : Harish-Chandra decomposition [(-1, 0, 1)-grading]  $\mathfrak{g} = \mathfrak{p}^- \oplus \mathfrak{k} \oplus \mathfrak{p}^+ (= \mathfrak{k} \oplus \mathfrak{p})$  $[\mathfrak{k}, \mathfrak{p}^{\pm}] = \mathfrak{p}^{\pm}, \quad [\mathfrak{p}^+, \mathfrak{p}^+] = [\mathfrak{p}^-, \mathfrak{p}^-] = 0, \quad [\mathfrak{p}^+, \mathfrak{p}^-] = \mathfrak{k}$ 

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- 2.  $\mathfrak{D} = \mathfrak{D}(X)$ : space of invariant differential operators on X.  $\mathfrak{U} := \mathfrak{U}(\mathfrak{g}); \quad \mathfrak{U}^{\mathfrak{k}} := \mathfrak{Z}_{\mathfrak{k}}(\mathfrak{U}); \quad (\mathfrak{U}\mathfrak{k})^{\mathfrak{k}} := \mathfrak{U}\mathfrak{k} \cap \mathfrak{U}^{\mathfrak{k}}.$
- 3. Then  $\mathfrak{D} \cong \mathfrak{U}^{\mathfrak{k}}/(\mathfrak{U}\mathfrak{k})^{\mathfrak{k}}$ . This is the algebraic description of  $\mathfrak{D}$ .

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- 3. Then  $\mathfrak{D} \cong \mathfrak{U}^{\mathfrak{k}}/(\mathfrak{U}\mathfrak{k})^{\mathfrak{k}}$ . This is the algebraic description of  $\mathfrak{D}$ .
- 4.  $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{a} \oplus \mathfrak{n}$ : Iwasawa decomposition (Group: G = KAN). Here  $\mathfrak{a}$  is maximally abelian in  $\mathfrak{p} = \mathfrak{p}^- \oplus \mathfrak{p}^+$ . May identify  $\mathfrak{S}(\mathfrak{a})$  with  $\mathfrak{P}(\mathfrak{a}^*)$ .
- 5.  $\gamma^{\mathfrak{o}} : \mathfrak{D} \to \Lambda \subseteq \mathfrak{P}(\mathfrak{a}^*)$ : the Harish-Chandra isomorphism. Good map! Essentially a symmetry-preserving projection, shifted by the Weyl vector  $\rho$

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#### Schmid decomposition and Shimura operators

 $\mathscr{P}(n):=\{\lambda:\ell(\lambda)=n\}, \quad \mathscr{P}^d(n):=\{\lambda\in \mathscr{P}(n):|\lambda|=d\}.$ 



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#### Schmid decomposition and Shimura operators

 $\mathscr{P}(n) := \{\lambda : \ell(\lambda) = n\}, \quad \mathscr{P}^d(n) := \{\lambda \in \mathscr{P}(n) : |\lambda| = d\}.$ Schmid decomposition ([Sch70, FK90]) for  $\mathfrak{k}$ -mods (multiplicity-free):

$$\mathfrak{S}^{d}(\mathfrak{p}^{+}) = \bigoplus_{\lambda \in \mathscr{P}^{d}(n)} W_{\lambda}, \ \mathfrak{S}^{d}(\mathfrak{p}^{-}) = \bigoplus_{\lambda \mathscr{P}^{d}(n)} W_{\lambda}^{*}.$$

Here we choose a form to identify  $\mathfrak{p}^-$  with  $(\mathfrak{p}^+)^*$ . The highest weights are parametrized using the HC strongly orthogonal roots.

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#### Shimura Operators

$$\operatorname{End}_{\mathfrak{k}}(W_{\lambda}) \cong (W_{\lambda}^{*} \otimes W_{\lambda})^{\mathfrak{k}} \hookrightarrow \left(\mathfrak{S}\left(\mathfrak{p}^{-}\right) \otimes \mathfrak{S}\left(\mathfrak{p}^{+}\right)\right)^{\mathfrak{k}} \to \mathfrak{U}^{\mathfrak{k}} \to \mathfrak{D}$$

$$1 \longmapsto D_{\lambda} \longmapsto \mathscr{D}_{\lambda}$$

Call  $\mathscr{D}_{\lambda}$  the Shimura operator associated with  $\lambda$ .

A basis parameterized by **partitions**! Nice images of 1's.

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#### Okounkov Polynomials

 $\Lambda := \mathbb{C}[x_1, \dots, x_n]^{S_n \ltimes \mathbb{Z}_2^n} \text{ (ring of even symmetric polynomials)} \\ \rho := (\rho_1, \dots, \rho_n), \, \rho_i := \tau(n-i) + \alpha. \ \tau, \alpha: \text{ parameters.}$ 

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#### Theorem-Definition [Oko98, OO06], c.f. [SZ19]

The Okounkov polynomial  $P_{\mu}(x_1, \ldots, x_n; \tau, \alpha)$  is the unique polynomial in  $\Lambda$  satisfying

- 1. deg  $P_{\mu} = 2|\mu|;$
- 2.  $P_{\mu}(\lambda + \rho) = 0$  for  $\lambda \not\supseteq \mu$  [the vanishing properties];
- 3. Some normalization condition (at  $\mu + \rho$ ).
- 1. They are interpolation polynomials (interpolated by zeros);
- 2.  $\rho$  can be specialized to the half sum of positive roots for a restricted root system of Type BC [The case for Hermitian X];
- 3. For the "usual" Type A symmetry, there are Knop–Sahi polynomials [KS96].

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#### An Example

Consider the one-row partition (l) for  $l \in \mathbb{N}$  (so  $(k) \not\supseteq (l)$  means k < l).  $n = 1 \ \rho = \alpha$ . We have [SZ19]:

$$P_{(l)}(x;\tau,\alpha) = \prod_{i=0}^{l-1} (x^2 - (i+\alpha)^2) = \prod_{i=0}^{l-1} (x+\alpha-i)(x-\alpha+i).$$

- Trivially symmetric;
- Degree 2l;
- Vanishes at  $(k + \alpha)$  for k < l.

For  $(l^n)$ , we have

$$P_{(l^n)}(x;\tau,\alpha) = \prod_{i=0}^{l-1} \prod_{j=1}^n (x_j^2 - (i+\alpha)^2)$$

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#### Classical result

Recall the H-C isomorphism  $\gamma^{\circ} : \mathfrak{D} \to \Lambda$ . It captures/transports the Type *BC* symmetry (restricted root system  $\to$  polynomials).

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Theorem (Sahi & Zhang [SZ19])

We have  $\gamma^{0}(\mathscr{D}_{\lambda}) = k_{\lambda}P_{\lambda}$  for some explicit  $k_{\lambda} \neq 0$ .

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Let  $V_{\mu}$  be the irreducible  $\mathfrak{g}$ -module (of the same highest weight as  $W_{\mu}$ ). Then by the Cartan–Helgason Theorem,  $V_{\mu}$  has a spherical vector  $v^{\mathfrak{k}}$ , i.e.  $\mathfrak{k}.v^{\mathfrak{k}} = 0$ .  $D_{\lambda} \in \mathfrak{U}^{\mathfrak{k}}$  ( $\mathscr{D}_{\lambda} \in \mathfrak{D}$ ) acts on  $v^{\mathfrak{k}}$  as  $\gamma^{\mathfrak{o}}(\mathscr{D}_{\lambda})(\mu + \rho)$ , hence the word spectrum/eigenvalue!

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# Lie Superalgebras

#### Definition

A Lie superalgebra is a vector superspace  $\mathfrak{g} = \mathfrak{g}_{\overline{0}} \oplus \mathfrak{g}_{\overline{1}}$  with a bilinear map [-, -]:  $\mathfrak{g} \otimes \mathfrak{g} \to \mathfrak{g}$  satisfying

1. 
$$[X, Y] = -(-1)^{|X||Y|}[Y, X]$$

2. 
$$[[X,Y],Z] = [X,[Y,Z]] - (-1)^{|X||Y|}[Y,[X,Z]]$$

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# Lie Superalgebras

#### Definition

A Lie superalgebra is a vector superspace  $\mathfrak{g} = \mathfrak{g}_{\overline{0}} \oplus \mathfrak{g}_{\overline{1}}$  with a bilinear map [-, -]:  $\mathfrak{g} \otimes \mathfrak{g} \to \mathfrak{g}$  satisfying

1. 
$$[X,Y] = -(-1)^{|X||Y|}[Y,X]$$

2. 
$$[[X,Y],Z] = [X,[Y,Z]] - (-1)^{|X||Y|}[Y,[X,Z]]$$

$$\mathfrak{gl}(m|n): \\ \mathfrak{gl}_{\overline{0}}: \left( \begin{array}{c|c} A & 0 \\ \hline 0 & D \end{array} \right) \quad \mathfrak{gl}_{\overline{1}}: \left( \begin{array}{c|c} 0 & B \\ \hline C & 0 \end{array} \right) \quad [X,Y] := XY - (-1)^{|X||Y|} YX$$

 $\substack{\text{Main results}\\ \bullet 00000}$ 

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#### Bad news for LSA

No Weyl's theorem on complete reducibility; Borels are not conjugates; *isotropic* (restricted) roots...

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#### Supersymmetric Shimura operators

Fix  $\mathfrak{g} = \mathfrak{gl}(2p|2q)$  and  $\mathfrak{k} = \mathfrak{gl}(p|q) \oplus \mathfrak{gl}(p|q)$ .  $\mathscr{H} = \mathscr{H}(p,q) := \{\lambda : \lambda_{p+1} \leq q\}$  (hook partitions).  $\mathscr{H}^d := \{\lambda \in \mathscr{H} : |\lambda| = d\}$ . Super analog of the Schmid decomposition: ([CW01, SSS20])

$$\mathfrak{S}^d(\mathfrak{p}^+) = \bigoplus_{\lambda \in \mathscr{H}^d} W_\lambda, \ \mathfrak{S}^d(\mathfrak{p}^-) = \bigoplus_{\lambda \in \mathscr{H}^d} W^*_\lambda.$$

 $W_{\lambda}$  are of Type M, and dim End<sub> $\mathfrak{k}$ </sub> $(W_{\lambda}) = 1$ . (Super version of Schur's Lemma may include the parity twist.) Set  $\mathfrak{D} = \mathfrak{U}^{\mathfrak{k}}/(\mathfrak{U}\mathfrak{k})^{\mathfrak{k}}$ .

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#### Supersymmetric Shimura Operators [super cousins]

$$\operatorname{End}_{\mathfrak{k}}(W_{\lambda}) \cong (W_{\lambda}^{*} \otimes W_{\lambda})^{\mathfrak{k}} \hookrightarrow \left(\mathfrak{S}(\mathfrak{p}^{-}) \otimes \mathfrak{S}(\mathfrak{p}^{+})\right)^{\mathfrak{k}} \to \mathfrak{U}^{\mathfrak{k}} \to \mathfrak{D}$$
$$1 \longmapsto D_{\lambda} \longmapsto \mathscr{D}_{\lambda}$$

And yes, the super Harish-Chandra isomorphism  $\gamma^{0} : \mathfrak{D} \to \Lambda \subseteq \mathfrak{P}(\mathfrak{a}^{*})$  exists, but not well-understood.

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 $\begin{array}{c} {\rm Main\ results}\\ {\rm 00000} \end{array}$ 

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# Sergeev–Veselov Polynomials

Polynomial supersymmetry = symmetry in  $\{x_i\}$  and  $\{y_j\}$  + translational invariance "across  $\{x_i, y_j\}$ ".

 $\begin{array}{c} {\rm Main\ results}\\ {\rm 00}{\scriptstyle \bullet 00} \end{array}$ 

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### Proposition-Definition [SV09]

For each  $\mu \in \mathscr{H}$ , there is a unique polynomial  $J_{\mu} \in \Lambda^{0}$  of degree  $2|\mu|$  s.t.

$$J_{\mu}(\overline{\lambda} + \rho) = 0, \text{ for all } \lambda \not\supseteq \mu, \lambda \in \mathscr{H}$$

and that  $J_{\mu}(\overline{\mu} + \rho)$  is certain explicit non-zero constant.

 $\overline{\lambda}$ : some choice of (Frobenius) coordinates.  $\rho$ : Weyl vector of restricted roots.

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# Main Results

Theorem A (Sahi–Z. [SZ23])

We have  $\gamma^{0}(\mathscr{D}_{\mu}) = k_{\mu}J_{\mu}$  where  $k_{\mu} = (-1)^{|\mu|} \prod_{(i,j) \in \mu} (\mu_{i} - j + \mu'_{j} - i + 1).$ 

The main thing is to show the vanishing properties. Need two other results.

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The main thing is to show the vanishing properties. Need two other results. Let the center of  $\mathfrak{U}$  be  $\mathfrak{Z}$ . Then  $\mathfrak{Z} \subseteq \mathfrak{U}^{\mathfrak{k}}$  and we have  $\pi : \mathfrak{Z} \hookrightarrow \mathfrak{U}^{\mathfrak{k}} \twoheadrightarrow \mathfrak{D}$ . Establish the commutative diagram:

$$\begin{array}{c} \mathfrak{Z} & \xrightarrow{\pi} \mathfrak{D} \\ \gamma \downarrow & \downarrow \gamma^{\circ} \\ \mathfrak{P}(\mathfrak{h}^{*}) \xrightarrow{\operatorname{Res}} \mathfrak{P}(\mathfrak{a}^{*}) \end{array}$$

Main results

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# Main Results

#### Theorem B (Sahi–Z. [SZ23])

The map  $\pi$  is surjective. In particular, there exists  $Z_{\mu} \in \mathfrak{Z}$  such that  $\pi(Z_{\mu}) = \mathscr{D}_{\mu}$ . (So  $\mathscr{D}_{\mu} = \pi(D_{\mu})$  can be captured by some central element!)

Let  $I_{\lambda} := \mathfrak{U} \otimes_{\mathfrak{U}(\mathfrak{q})} W_{\lambda}$  be the generalized Verma module for  $\mathfrak{q} = \mathfrak{k} \oplus \mathfrak{p}^+$ .

#### Theorem C (Sahi–Z. [SZ23])

The central element  $Z_{\mu}$  acts on  $I_{\lambda}$  by 0 when  $\lambda \not\supseteq \mu$ .

Main results

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# **Future Directions**

• Other pairs (Jordan superalgebras+TKK construction) c.f. [SSS20].

Main results 00000 Future Directions  $\bullet 00$ 

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# **Future Directions**

- Other pairs (Jordan superalgebras+TKK construction) c.f. [SSS20].
- No full generalization of Cartan–Helgason theorem in the super scenario. The only partial result is obtained by Alldridge and Schmittner [AS15]. Not enough  $V_{\lambda}$  are guaranteed to be spherical. This is used in the old method [Zhu22]. Some progress!

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# Future Directions

- Other pairs (Jordan superalgebras+TKK construction) c.f. [SSS20].
- No full generalization of Cartan–Helgason theorem in the super scenario. The only partial result is obtained by Alldridge and Schmittner [AS15]. Not enough  $V_{\lambda}$  are guaranteed to be spherical. This is used in the old method [Zhu22]. Some progress!
- Differential operators (on (possibly Laurent) polynomials) ~ symmetric polynomials. This Shimura theory should in principle help us to explicitly write down a family of commuting differential operators (also commuting with the CMS operators) Ongoing! Nothing wrong so far...

Main results 00000 Future Directions  $0 \bullet 0$ 

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# Scope: Shimura and friends

	Shimura	Capelli	quadratic Capelli
••	[SZ19]	[KS93, Sah94]	[SS19]
$\mathbb{Z}_2$	[Zhu22, SZ23]	[SSS20]	?
$\overline{q}$	?	[LSS22]	?
$\mathbb{Z}_2, q$	?	?	?

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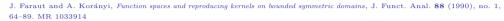
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# Thank you!



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Fix  $\mathfrak{g} = \mathfrak{gl}(2p|2q)$  and  $\mathfrak{k} = \mathfrak{gl}(p|q) \oplus \mathfrak{gl}(p|q)$ . Embed  $\mathfrak{k}$  into  $\mathfrak{g}$ :

$$\left( \left( \begin{array}{c|c} A & B \\ \hline C & D \end{array} \right), \left( \begin{array}{c|c} A' & B' \\ \hline C' & D' \end{array} \right) \right) \mapsto \left( \begin{array}{c|c} A & 0 & B & 0 \\ 0 & A' & 0 & B' \\ \hline C & 0 & D & 0 \\ 0 & C' & 0 & D' \end{array} \right)$$

Here  $\mathfrak{p}^+$  (resp.  $\mathfrak{p}^-$ ) consists of matrices with non-zero entries only in the upper right (resp. bottom left) sub-blocks in each of the four blocks.

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Here  $\mathfrak{p}^+$  (resp.  $\mathfrak{p}^-$ ) consists of matrices with non-zero entries only in the upper right (resp. bottom left) sub-blocks in each of the four blocks.

Technical details:

- 1. Let  $J := \frac{1}{2} \operatorname{diag}(I, -I, I, -I)$ , and  $\theta := \operatorname{Ad} \exp(i\pi J)$ . Then  $\theta$  has fixed point subalgebra  $\mathfrak{k}$ .
- 2. The standard diagonal Cartan is denoted as t (in both  $\mathfrak{g}$  and  $\mathfrak{k}$ , "max. compact")
- 3. Fix a  $\theta$ -stable, maximally split Cartan  $\mathfrak{h}$  containing  $\mathfrak{a}$ , a maximal toral subalgebra in  $\mathfrak{p}_{\overline{\Omega}}$  (for Iwasawa decomp.).

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# Supersymmetric polynomials

For polynomials, supersymmetry = symmetry + translational invariance.

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# Supersymmetric polynomials

For polynomials, supersymmetry = symmetry + translational invariance. More precisely, in the Type BC situation:

#### Definition

Let  $\{\epsilon_i\}_{i=1}^p \cup \{\delta_j\}_{j=1}^q$  be the standard basis for  $V = \mathbb{C}^{p+q}$ . Denote the coordinate functions for this basis as  $x_i$  and  $y_j$ . A polynomial  $f \in \mathfrak{P}(V) = \mathbb{C}[x_i, y_j]$  is said to be *even supersymmetric* if :

(i) f is symmetric in  $x_i$  and  $y_j$  separately and invariant under sign changes of  $x_i$  and  $y_j$ ;

(ii) 
$$f(X + \epsilon_i - \delta_j) = f(X)$$
 if  $x_i + y_j = 0$  for  $i = 1, ..., p, j = 1, ..., q$ 

We denote the subring of even supersymmetric polynomials as  $\Lambda^{0}(V)$ .

!! (i)  $\iff$  invariance under  $(S_p \ltimes \mathbb{Z}_2^n) \times (S_q \ltimes \mathbb{Z}_2^n)$  but (ii) is no longer group invariance (not even linear).

In fact, one may use a suitable Weyl groupoid action to capture (i & ii).